

MONDIAL (GB) LIMITED ACCOUNT OPENING FORM

for

COMPANIES

Under our rules of the business, we are required to obtain certain information before we can open an account for you. It is important, therefore that you complete **all** sections of this form. If you have any queries please call one of our account executives who will be pleased to help you.

MONDIAL (GB) LIMITED

157 Fishponds Road, Eastville, Bristol, BS5 6PR, United Kingdom

Telephone: +44 (0) 117 951 4411 Facsimile: +44 (0) 870 123 6084
e-mail: documentation@mondialgb.eu website: www.mondialgb.eu

Please write clearly in block capitals and black ink, and answer all questions. If you have any queries, please contact one of our account executives on telephone No.: 0044 (0)117 9514411

1 Registered details of the Company

Full Company name:	_____	Registered Office	
		Street / No.	_____
Registered number:	_____	Town/City	_____
Date of incorporation:	____/____/____ (dd/mm/yyyy)	Postcode	_____
Country of incorporation	_____	Country	_____
Trading name:	_____	Telephone	_____
		E-mail address	_____
Date Trading Commenced:	____/____/____ (dd/mm/yyyy)	Fax number	_____

2 Communication with the Company

Trading Address / Correspondence Address
(if different from above)
(Please note we may need to see evidence of the trading address)

Street / No. _____

Town/City _____

Postcode _____

Country _____

Telephone _____

E-mail address _____

Fax number _____

Company web-site:

E-mail address(es) for the receipt of trading statements:

Company Contacts

Management Contact _____

Position _____

Telephone _____

E-mail address _____

Fax number _____

Trading Contact _____

Position _____

Telephone _____

E-mail address _____

Fax number _____

Back office Contact _____

Position _____

Telephone _____

E-mail address _____

Fax number _____

Any additional Contact _____

Position _____

Telephone _____

E-mail address _____

Fax number _____

3**Status of the Company**

The following information is required to enable us to fulfil our obligations under the UK Money Laundering Regulations.

Is the Company regulated by the Financial Services Authority or country equivalent, or listed/quoted on a major Stock Exchange?

YES

NO

If 'YES' please give name of Financial Services Regulator / Stock Exchange: _____

Authorisation number (if applicable): _____

Country of Regulator / Stock Exchange: _____

If you have answered yes to the above then please proceed straight to section 6 (Proof of ID for Directors and Shareholders will not be required)

Is the Company's ultimate beneficial owner regulated or listed/quoted on a major Stock Exchange?

YES

NO

If 'YES' please give the name of Regulator / Stock Exchange: _____

Authorisation number (if applicable): _____

Country of Regulator / Stock Exchange: _____

If you have answered yes to the above then Proof of ID for Shareholders will not be required

4**Directors of the Company**

Details of all directors of the Company:

Name of Director	Residential Address of Director	Date of Birth

Details of Company Secretary (if applicable):

Name (Individual or Company)	Company Address

(Please continue on a separate sheet if necessary)

5**Ownership of the Company**

Shareholder details of the company:

Name of Shareholder	Ownership Percentage

(If any shareholder is a corporate entity please provide a breakdown of the individual shareholdings of that company below, along with a corporate group structure)

Name of Shareholder / Corporate Entity	Ownership Percentage

6 Company Financials

Please provide a copy of the latest audited Report and Accounts of the Company, if unavailable then please provide unaudited management accounts.

Please note if you cannot provide audited or unaudited financials then you will need to provide verification of the financial position of the company.

7 The Company's principal bank details

Full Name of Bank: _____

Name of Bank Account: _____

Full Address: _____

A/C No.: _____ Country: _____

SWIFT / IBAN No.: _____ Sort Code: _____

8 Principal Activities of the Company

Please give details of the Company's principal activities:

9 Authorised Traders

Name	Position

10 The Company's experience and knowledge of metals trading or the Trader's Experience and knowledge of Metals trading if the company is a start-up.

If the company is regulated by the Financial Services Authority or country equivalent then this section does not need to be completed. Please proceed to section 11.

Please indicate which of the following types of metals the Company has previously traded, even if the Company does not intend to trade any of the products with Mondial (GB) Limited. We are requesting this information to enable us to fulfil our obligations under our rules business and guidelines of the Financial Services Authority.

If there is more than one trader, trading on behalf of the company, then each trader needs to complete and sign the Individual Traders Experience Form.

Type of metal	Number of years traded	Average frequency of trades per quarter during the last year		Approximate size/value of average deal
copper	_____	less than 10[] trades / quarter	10 or more[] trades / quarter	_____ (value)
steel	_____	less than 10[] trades / quarter	10 or more[] trades / quarter	_____ (value)
aluminium	_____	less than 10[] trades / quarter	10 or more[] trades / quarter	_____ (lots)
nickel	_____	less than 10[] trades / quarter	10 or more[] trades / quarter	_____ (lots)
lead	_____	less than 10[] trades / quarter	10 or more[] trades / quarter	_____ (lots)
zinc	_____	less than 10[] trades / quarter	10 or more[] trades / quarter	_____ (lots)
tin	_____	less than 10[] trades / quarter	10 or more[] trades / quarter	_____ (value)
LME Warrants	_____	less than 10[] trades / quarter	10 or more[] trades / quarter	_____ (value)
Scrap metal	_____	less than 10[] trades / quarter	10 or more[] trades / quarter	_____ (value)
Metal hedging	_____	less than 10[] trades / quarter	10 or more[] trades / quarter	_____ (value)

11 Access to the Company's account via Mondial's website

Does the Company wish to access details of its account activity on Mondial's website?

Yes

No

If 'Yes'

- Access to the account will be via a unique password, which will be issued for the sole use of named individuals on the basis that it will remain their responsibility to keep it confidential and secure.
- This facility incorporates a number of security features and data stored and transmitted, will not have any reference to your company's name, address or account number.
- An account key to enable access to the facility will be emailed to the named individual below:

Name: _____

Email: _____

Name: _____

Email: _____

Name: _____

Email: _____

Name: _____

Email: _____

The following officers, being duly authorized to do so, declare and confirm on behalf of the Company the following:

- The Company confirms that all the details given in this form are correct.**
- The Company will inform MONDIAL (GB) LIMITED immediately in writing of any changes to the details in this form.**
- The Company agrees to be bound by our terms and conditions published on our website and any provisions included in this form.**

Executed by the Company acting by its duly authorised representatives

Full name of Company: _____

Signature _____

Name (Print) _____

Position / Title _____

Date _____

Signature _____

Name (Print) _____

Position / Title _____

Date _____

Signature _____

Name (Print) _____

Position / Title _____

Date _____

Company Seal /
Stamp

Should MONDIAL (GB) LIMITED and the Company enter into an agreement this form and the information set out in it will constitute part of the contract.

To assist us in the opening of your account in accordance with the requirements of UK Law, and to enable us to fulfil our legal obligations to positively identify each customer under the UK Money Laundering Regulations, please ensure that the following documents are provided with the completed form:

A certified * copy of the Company's Memorandum and Articles of Association (or local equivalent).

A certified * copy of the Company's Certificate of Incorporation (or local equivalent).

We are required to identify the Directors, Shareholders and Ultimate Beneficial Owner(s) of the Company

Please provide:

- Certified * copies of documents confirming the Company's ownership structure (e.g. share registers, share certificates and list of current directors).
- Proof of identity of each of the major beneficial owner(s)/ shareholders and Directors of the Company. This should include a certified * copy of their current passport or identity card, and a certified * copy of a current (dated within the last 3 months) utility bill, or equivalent to confirm proof of address.

An original Board Resolution of the Company to open an account with MONDIAL (GB) LIMITED (see attached)

***Certified** copies of documents must be dated and signed "original seen", preferably by a government department or a regulated or professional person covered by money laundering regulations. Examples of regulated or professional persons covered by money laundering regulations include bankers, accountants or other persons employed by firms authorised by the Financial Services Authority. If a good reproduction of the photograph on personal identification cannot be achieved, then the copy should also be certified as providing a good likeness of the applicant.

The full name, address and occupation of the person certifying the copy will also be required.

Certifier's details:

Name of Certifier:

Occupation:

Name of Company:

Telephone / email: